



## PATENT

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Attorney Docket No.: 111325-239 (450100)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Charles P. **GILLIAM**, *et al.*

) Examiner: Unassigned

Application No.: 10/606,151

) Group Art Unit: 3629

Filed: June 26, 2003

)

**For: SYSTEM AND METHOD FOR CONTROLLING RIGHTS EXPRESSIONS BY STAKEHOLDERS OF AN ITEM**

Commissioner of Patents  
U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street S.  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 (b)**

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

These documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Enclosed herewith is a Form PTO-1449 listing the art cited in the International Search Report for the above captioned application. It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /HS/

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (111325-239/450100).

Respectfully submitted,  
**NIXON PEABODY, LLP**

By: 

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Dated: **July 6, 2004**

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number	10/606,151
Filing Date	June 26, 2003
First Named Inventor	Charles P. GILLIAM, et al.
Art Unit	3629
Examiner Name	Unassigned
Attorney Docket Number	111325-239 (450100)

## **U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
/HS/		US - 6,611,812	August 26, 2003	Hurtado, et al.	
		US - 5,438,508	August 1, 1995	Wyman	
		US - 5,940,504	August 17, 1999	Griswold	
		US - 6,141,754	October 31, 2000	Choy	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>2</sup> (if known)				

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>4</sup>
		International Search Report dated November 19, 2003	

Examiner Signature	/Hosuk Song/	Date Considered	09/02/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /HS/